



Please type a plus sign (+) inside this box → ☐

PTO/SB/82 (10/00)
Approved for use through 10/31/2002. OMB 0651-0035

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

REVOCATION OF POWER OF ATTORNEY OR AUTHORIZATION OF AGENT

Application Number	09/580,029
Filing Date	05/26/2000
First Named Inventor	Sharma, Rohit
Group Art Unit	2784
Examiner Name	Unassigned
Attorney Docket Number	CIE-0049 (21216-04993US)

I hereby revoke all previous powers of attorney or authorizations of agent given in the above-identified application:

☒ A Power of Attorney or Authorization of Agent is submitted herewith.

OR

☐ Please change the correspondence address for the above-identified application to:

☐ Customer Number

OR

Place Customer
Number Bar Code
Label here

☐ Firm or
Individual Name

Address

Address

City

Country

State

ZIP

Telephone

Fax

I am the:

☐ Applicant/Inventor

☒ Assignee of record of the entire interest. See 37 CFR 3.71
Certificate under 37CFR 3.73(b) is enclosed (Form PTO/SB/96)

SIGNATURE of Applicant or Assignee of Record

Name

Russell B. Stevenson, Jr., Senior Vice President and General Counsel

Signature

Date

NOTE: Signatures of all the inventors or assignees of record of the entire interest or their representative(s) are required. Submit multiple forms if more than one signature is required, see below*.

☒ *Total of One (1) forms are submitted.

Burden Hour Statement: This form is estimated to take 3 minutes to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.

#9
2784
2133

RECEIVED

JAN 17 2003

Technology Center 2100



#9

Please type a plus sign (+) inside this box →



PTO/SB/81 (02-01)

Approved for use through 10/31/2002. OMB 0651-0035

U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

**POWER OF ATTORNEY OR
AUTHORIZATION OF AGENT**

Application Number	09/580,029
Filing Date	05/26/2000
First Named Inventor	Sharma, Rohit
Group Art Unit	2784
Examiner Name	Unassigned
Attorney Docket Number	CIE-0049 (21216-04993US)

I hereby appoint:

☐ Practitioners at Customer NumberPlace Customer
Number Bar Code
Label here

OR

☒ Practitioner(s) named below:

Name	Registration Number
David L. Soltz	34,731
Michael R. Cammarata	39,491
David A. Fox	38,807

RECEIVED
JAN 17 2003

as my/our attorney(s) or agent(s) to prosecute the application identified above, and to transact all business in the United States Patent and Trademark Office connected therewith.

Technology Center 2100

Please change the correspondence address for the above-identified application to:

☐ The above-mentioned Customer Number.

OR

☐ Practitioner(s) at Customer Number.Place Customer
Number Bar Code
Label here

OR

☒ Firm or
Individual Name

CIENA Corporation

Address

1201 Winterson Road

Address

City

Linthicum,

State

MD

Zip

21090

Country

USA

Telephone

410-694-5763

Fax

410-865-8001

I am the:

☐ Applicant/Inventor.☒ Assignee of record of the entire interest. See 37 CFR 3.71.
Statement under 37 CFR 3.73(b) is enclosed. (Form PTO/SB/96).**SIGNATURE of Applicant or Assignee of Record**

Name

Russell B. Stevenson, Jr., Senior Vice President and General Counsel

Signature

Date

12/13/02

NOTE: Signatures of all the inventors or assignees of record of the entire interest or their representative(s) are required. Submit multiple

☒ *Total of One (1) forms are submitted.



STATEMENT UNDER 37 CFR 3.73(b)

Applicant: Rohit Sharma

Application No.: 09/580,029 Filed: 05/26/2000

Entitled: Network Performance Monitoring and Restoration Based on Transmission Code Violations

CIENA Corporation, a corporation

(Name of Assignee)

(Type of Assignee, e.g., corporation, partnership, university, government agency, etc.)

states that it is:

1. ☒ the assignee of the entire right, title, and interest; or
2. ☐ an assignee of an undivided part interest

in the patent application identified above by virtue of either:

A. ☐ An assignment from the inventor(s) of the patent application identified above. The assignment was recorded in the Patent and Trademark Office at Reel _____, Frame _____, or for which a copy thereof is attached.

OR

B. ☒ A chain of title from the inventor(s), of the patent application identified above, to the current assignee as shown below:

1. From: ONI Systems Corp. To: CIENA Corporation
The document was recorded in the Patent and Trademark Office at
Reel _____, Frame _____, or for which a copy thereof is attached.
2. From: Rohit Sharma To: ONI Systems Corp.
The document was recorded in the Patent and Trademark Office at
Reel 011110, Frame 0694, or for which a copy thereof is attached.
3. From: _____ To: _____
The document was recorded in the Patent and Trademark Office at
Reel _____, Frame _____, or for which a copy thereof is attached.

☐ Additional documents in the chain of title are listed on a supplemental sheet.

☒ Copies of assignments or other documents in the chain of title are attached.

The undersigned (whose title is supplied below) is empowered to sign this statement on behalf of the assignee.

12/13/02
Date

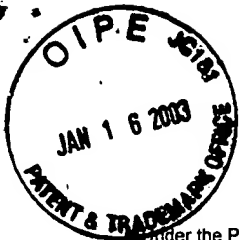
Signature

Russell B. Stevenson, Jr.

Typed or printed name

Senior Vice President and General Counsel

Title



PTO/SB/92 (08-00)

Approved for use through 10/31/2002. OMB 0651-0031

U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

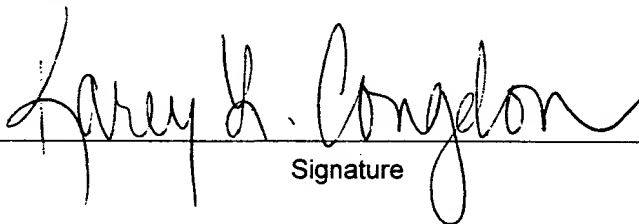
Certificate of Mailing under 37 CFR 1.8

I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to:

**Assistant Commissioner for Patents
Washington, D.C. 20231**

on October 18, 2002

Date



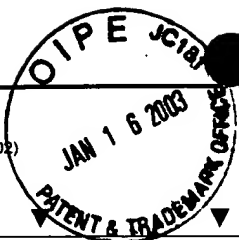
Signature

Karey L. Congdon

Typed or printed name of person of signing Certificate

Note: Each paper must have its own certificate of mailing, or this certificate must identify each submitted paper.

Burden Hour Statement: This form is estimated to take 0.03 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U. S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.



RECORDATION FORM COVER SHEET

cket No.: CIE-0000

U.S. DEPARTMENT OF COMMERCE

Patent and Trademark Office

PATENTS ONLY

Tab settings → → →

To the Honorable Commissioner of Patents and Trademarks: Please record the attached original documents or copy thereof.

1. Name of conveying party(ies):
ONI Systems Corp.

Additional names(s) of conveying party(ies) ☐ Yes ☒ No

3. Nature of conveyance:

- ☐ Assignment ☒ Merger
☐ Security Agreement ☐ Change of Name
☐ Other _____

Execution Date: 6/21/02

2. Name and address of receiving party(ies):

Name: CIENA Corporation

Internal Address: _____

Street Address: 1201 Winterson Road

City: Linthicum State: MD ZIP: 21090

Additional name(s) & address(es) attached? ☐ Yes ☒ No

4. Application number(s) or patent numbers(s):

If this document is being filed together with a new application, the execution date of the application is: _____

A. Patent Application No.(s)

09/326,015	09/714,378	09/225,920
09/321,979	09/580,029	10/071,951
09/898,472	09/969,720	10/005,716
60/337,844	10/045,587	10/099,181

B. Patent No.(s)

5,986,783	6,046,833	6,331,906
5,879,571	5,515,195	6,275,329
6,304,347	6,339,506	6,339,503

Additional numbers attached? ☒ Yes ☐ No

5. Name and address of party to whom correspondence concerning document should be mailed:

Name: David A. Fox

Internal Address: Cantor Colburn LLP

Street Address: 55 Griffin Road South

City: Bloomfield, State: CT ZIP: 06002

6. Total number of applications and patents involved: 24

7. Total fee (37 CFR 3.41):.....\$ 960.00

☒ Enclosed - Any excess or insufficiency should be credited or debited to deposit account

☐ Authorized to be charged to deposit account

8. Deposit account number:

06-1130

(Attach duplicate copy of this page if paying by deposit account)

DO NOT USE THIS SPACE

9. Statement and signature.

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

David A Fox, Registration No. 38,807

Name of Person Signing

Signature

October 18, 2002

Date

Total number of pages including cover sheet, attachments, and document: 5



RECORDATION FORM COVER SHEET
PATENTS ONLY

Item No. 4, Continued:

A. Patent Application Nos.

09/759,625 09/875,243 10/045,955



Delaware

PAGE 1

The First State

I, HARRIET SMITH WINDSOR, SECRETARY OF STATE OF THE STATE OF DELAWARE, DO HEREBY CERTIFY THE ATTACHED IS A TRUE AND CORRECT COPY OF THE CERTIFICATE OF MERGER, WHICH MERGES:

"OWI SYSTEMS CORP.", A DELAWARE CORPORATION,

WITH AND INTO "CIEMA CORPORATION" UNDER THE NAME OF "CIEMA CORPORATION", A CORPORATION ORGANIZED AND EXISTING UNDER THE LAWS OF THE STATE OF DELAWARE, AS RECEIVED AND FILED IN THIS OFFICE THE TWENTY-FIRST DAY OF JUNE, A.D. 2002, AT 1:30 O'CLOCK P.M.

AND I DO HEREBY FURTHER CERTIFY THAT THE EFFECTIVE DATE OF THE AFORESAID CERTIFICATE OF MERGER IS THE TWENTY-FIRST DAY OF JUNE, A.D. 2002, AT 11:59 O'CLOCK P.M.

A FILED COPY OF THIS CERTIFICATE HAS BEEN FORWARDED TO THE NEW CASTLE COUNTY RECORDER OF DEEDS.



Harriet Smith Windsor
Harriet Smith Windsor, Secretary of State

2314539 8100M

020402001

AUTHENTICATION: 1845589

DATE: 06-21-02



**CERTIFICATE OF MERGER
OF**

**ONI SYSTEMS CORP.,
a Delaware Corporation**

WITH AND INTO

**CIENA CORPORATION,
a Delaware Corporation**

STATE OF DELAWARE
SECRETARY OF STATE
DIVISION OF CORPORATIONS
FILED 01:30 PM 06/21/2003
0704027001 - 1314319

The undersigned corporation DOES HEREBY CERTIFY:

FIRST: That the name and state of incorporation of each of the constituent corporations of the merger is as follows:

NAME

STATE OF INCORPORATION

CIENA Corporation
ONI Systems Corp.

Delaware
Delaware

SECOND: That an agreement of merger between the constituent corporations has been approved, adopted, certified, executed and acknowledged by each of the constituent corporations in accordance with the requirements of Section 251 of the General Corporation Law of the State of Delaware.

THIRD: That the name of the surviving corporation of the merger is CIENA Corporation.

FOURTH: That the certificate of incorporation of CIENA Corporation, a Delaware corporation, the surviving corporation, shall be the certificate of incorporation of the surviving corporation.

FIFTH: That the executed agreement of merger is on file at the principal place of business of the surviving corporation. The address of the principal place of business of the surviving corporation is 1201 Winterson Road, Linthicum, MD 21090.

SIXTH: That a copy of the agreement of merger will be furnished by the surviving corporation, on request and without cost, to any stockholder of each of the constituent corporations.

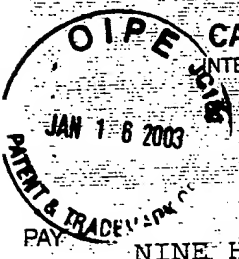
SEVENTH: The effective date of the merger shall be as of 11:59 p.m. Eastern Time on June 21, 2002.

IN WITNESS WHEREOF, CIENA Corporation, a Delaware corporation, has caused this certificate to be signed by Russell B. Stevenson, Jr., its Senior Vice President, General Counsel and Secretary, an authorized officer of the corporation, this 21st day of June, 2002.

CIENA Corporation,
a Delaware corporation

By: 

Russell B. Stevenson, Jr.
Senior Vice President, General
Counsel and Secretary



CANTOR COLBURN LLP
INTELLECTUAL PROPERTY ATTORNEYS
55 GRIFFIN ROAD SOUTH
BLOOMFIELD, CT 06002

FLEET

32833

51-57/119

00032833

NINE HUNDRED SIXTY AND XX / 100 Dollars

DATE

AMOUNT

10/18/02

*****\$960.00

TO THE
ORDER
OF

COMMISSIONER OF PATENTS AND
TRADEMARK OFFICE

Judi R. [Signature]
AUTHORIZED SIGNATURE

CIE-0000

⑈032833⑈ ⑈01190057⑈ 00168 13348⑈

CIE-0000
CIENA Corporation

Please acknowledge receipt of the Recordation Form Cover Sheet (2 pages);
Merger Document (3 pages); Certificate of Mailing; Check in the amount of
\$960.00; and postcard receipt.

Mailed to the United States Patent and Trademark Office via 1st Class Mail
on October 18, 2002

Received in the USPTO on:

RECEIVED
JAN 17 2003
Technology Center 2100